KELSEY FINANCIAL

Wealth Management | Financial Planning | Tactical Asset Management

Notice of Privacy Policy

Kelsey Financial, LLC considers the confidentiality of client information a top priority. At this time, we want you to know how we protect personal information we obtain from you or other sources in the course of providing you with investment products and services.

Most, if not all, the personal information that we have about you comes directly from you. Your Advisor records information that you provide, such as your name and address, financial information, investment experience, occupation and address of employer, birth date, social security number, e-mail address, phone number, etc. We also keep and maintain records of your account history. We may also collect personal information from outside sources, including consumer reporting agencies and CRD (Central Registration Depository) if you are, or were, associated with the securities industry.

It is our policy not to disclose any personal information to non-affiliates who might use your personal information to contact you about their own products and services. We educate our employees to protect your personal information. At Kelsey Financial, LLC, your personal information is available only to those individuals who are involved in servicing your financial needs or in supervisory activities. Your Advisor will use your personal information to help evaluate your financial situation and establish appropriate investment objectives. In addition, Kelsey Financial, LLC maintains physical, electronic, and procedural safeguards that comply with applicable laws and regulations to protect your personal information.

Under certain circumstances, we may, as permitted by law, provide personal information to our service providers, regulatory or governmental entities, or other entities at your instruction. In all circumstances, Kelsey Financial, LLC will take reasonable measures to ensure the privacy of your personal information.

Finally, should your relationship with Kelsey Financial, LLC end, your personal information will remain protected in accordance with our privacy policies as outlined in this notice.

You may ask to see or obtain a copy of any personal information we have in our records. If any of the information is believed to be incomplete or inaccurate, you may request that we make any necessary and appropriate changes.

Please send any requests to:

Kelsey Financial, LLC
485 E. High Street
Moorpark, CA 93021

We hope that you have found this information helpful. If you have any questions or would like more information, please don't hesitate to contact your Advisor.

Client Complaints – To aid in the service we provide, we are interested in hearing your feedback. If you have general comments or complaints, please write us at:

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485 E. High Street
Moorpark, CA 93021

Rev. 01/01/2018